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OFFICE OF THE
COMMISSIONER OF
PATENTS & TRADEMARKS

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Sorrentino and Schuetz

Docket No.

SJ-0015

Serial No.

09/866,866

Filing Date

May 29, 2001

Examiner

Qian J. Li

Group Art Unit

1632

Invention: METHOD OF IDENTIFYING AND/OR ISOLATING STEM CELLS AND PROGNOSING
RESPONSIVENESS TO LEUKEMIA

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	6 -	6 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☐ No additional fee is required for amendment.
- ☒ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1619
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Jane Massey Licata

Signature

Dated: September 20, 2002

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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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SJ-0015



09-27-02

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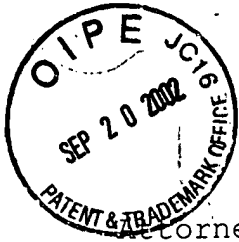
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- 1) Amendment Transmittal Letter (Small Entity) (2 copies);
- 2) Response to Restriction Requirement; and
- 3) Return Post Card.

Jane Massey Licata
JANE MASSEY LICATA



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Attorney Docket No.: SJ-0015

Inventors: Sorrentino and Schuetz

Serial No.: 09/866,866

Filing Date: May 29, 2001

Examiner: Qian J. Li

Group Art Unit: 1632

Title: Method of Identifying and/or Isolating
Stem Cells and Prognosing Responsiveness
to Leukemia

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By Jane Massey Licata
Typed Name: Jane Massey Licata, Reg. No. 32,257

U.S. Patent & Trademark Office
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Dear Sir:

RESPONSE TO RESTRICTION REQUIREMENT

This is a reply to the Restriction Requirement mailed August
27, 2002 setting a one month period for response. Please enter the
following amendments and remarks into the record.